# FINANCIAL DISCLOSURE REPORT

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### FILER INFORMATION

Name: Hon. Virginia Foxx

Status: Member State/District: NC05

## FILING INFORMATION

Filing Type: Annual Report

Filing Year: 2018

**Filing Date:** 05/15/2019

# SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Alliance Resource Partners, L.P Common Units Representing Limited Partnership Interests (ARLP) [ST]	JT	None	Capital Gains	\$1,001 - \$2,500	<b>▽</b>
ALPS Sector Dividend Dogs ETF (SDOG) [ST]	JT	None	Capital Gains	\$1,001 - \$2,500	<b>▽</b>
Ashford Hospitality Trust Inc (AHT) [ST]	JT	None	RETURN OF CAPITAL	\$2,501 - \$5,000	
AT&T Inc. (T) [ST]	JT	None	Dividends	\$2,501 - \$5,000	
Athene Annuity [FN]  DESCRIPTION: Merger of Riversource and Transamerica Annuities	JT	\$100,001 - \$250,000	Capital Gains, Dividends	None	
Athene Deferred Comp Annuity [PE]	JT	\$250,001 - \$500,000	Capital Gains, Dividends	\$5,001 - \$15,000	
BB&T Corporation (BBT) [ST]	JT	\$15,001 - \$50,000	None		
BP p.l.c. (BP) [ST]	JT	\$100,001 -	Dividends	\$2,501 -	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
		\$250,000		\$5,000	
Buckeye Partners L.P. (BPL) [ST]	JT	None	None		
CenterPoint Energy, Inc (CNP) [ST]	JT	None	Capital Gains, Dividends	\$5,001 - \$15,000	<u></u>
Clearway Energy, Inc. Class A (CWEN.A) [ST]	JT	\$50,001 - \$100,000	RETURN OF CAPITAL	\$2,501 - \$5,000	
DESCRIPTION: INCLUDES NRG YIELD INC RETURN OF CAPITA	L				
CVR Energy Inc. (CVI) [ST]	JT	None	Dividends	\$15,001 - \$50,000	<b>V</b>
DISCOVER BANK - CD 1.55% [BA]	JT	\$50,001 - \$100,000	Interest	\$201 - \$1,000	
DISCOVER BANK - CD 2.05% [BA]	JT	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	П
Domtar Corporation (UFS) [ST]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	
Duke Energy Corporation (DUK) [ST]	JT	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	
Duke Energy Corporation 5.125% Junior Subordinated Debentures due 2073 (DUKH) [ST]	JT	\$50,001 - \$100,000	None		
Enterprise Products Partners L.P. (EPD) [ST]	JT	\$50,001 - \$100,000	None		
Fidelity Nasdaq Composite Index Tracking Stock (ONEQ) [ST]	JT	None	Capital Gains	\$15,001 - \$50,000	<u> </u>
General Motors Company (GM) [ST]	JT	None	Dividends	\$1,001 - \$2,500	
GlaxoSmithKline PLC (GSK) [ST]	JT	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	П
Goldman Sachs - CD 2.25%21 [BA]	JT	\$50,001 - \$100,000	Interest	\$201 - \$1,000	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Humana Inc. (HUM) [ST]	JT	None	Capital Gains, Dividends	\$5,001 - \$15,000	<b>✓</b>
International Paper Company (IP) [ST]	JT	None	Capital Gains	\$2,501 - \$5,000	<u>~</u>
iShares Russell 2000 ETF (IWM) [ST]	JT	None	Capital Gains	\$5,001 - \$15,000	<b>V</b>
Johnson & Johnson (JNJ) [ST]	JT	None	Capital Gains	\$5,001 - \$15,000	<b>✓</b>
Mortgage Note Receivable- Moody, Banner Elk, N [DO]	JT	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000	
Note Receivable - Vaughn Family [DO]	JT	\$100,001 - \$250,000	Interest	\$2,501 - \$5,000	П
NY Life - Van Eck [WU]		\$15,001 - \$50,000	None		
NY Life - Van Eck [WU]	SP	\$15,001 - \$50,000	None		П
Old Republic International Corporation (ORI) [ST]	JT	None	Capital Gains, Dividends	\$5,001 - \$15,000	<u>~</u>
One Liberty Properties, Inc. (OLP) [ST]	JT	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	
Pattern Energy Group Inc Class A (PEGI) [ST]	JT	None	RETURN OF CAPITAL	\$2,501 - \$5,000	
Pembina Pipeline Corp. Ordinary Shares (PBA) [ST]	JT	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	
Pepsico, Inc. (PEP) [ST]	JT	None	Capital Gains, Dividends	\$2,501 - \$5,000	<b>V</b>
Pfizer, Inc. (PFE) [ST]	JT	None	Capital Gains, Dividends	\$2,501 - \$5,000	<b>✓</b>
PPL Corporation (PPL) [ST]	JT	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000	
Preferred Apartment Communities, Inc. (APTS) [ST]	JT	None	None		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Procter & Gamble Company (PG) [ST]	JT	None	Dividends	\$201 - \$1,000	П
Rental - 11468 Hwy 105 (3 Acres land and home) [RP]  LOCATION: Banner Elk, Avery, NC, US  DESCRIPTION: Dwelling on the property was improved in 2017 and	JT d began gene	\$50,001 - \$100,000 erating rental income.	Rent	\$1,001 - \$2,500	П
Rental - 11468 Hwy 105 (6 Acres Land) [RP]  LOCATION: Banner Elk - Avery, NC, US	JT	\$15,001 - \$50,000	None		П
Rental - 11470 Hwy 105 [RP]  LOCATION: Banner Elk - Avery, NC, US	JT	\$50,001 - \$100,000	None		П
Rental - 11853 Hwy 105(DUPLEX) [RP]  LOCATION: Banner Elk / Avery, NC, US	JT	\$100,001 - \$250,000	Rent	\$5,001 - \$15,000	П
Rental - 240 Rime Frost (2 Acres land and home) [RP]  LOCATION: Foscoe, Watauga County, NC, US  DESCRIPTION: Dwelling on the property was improved in 2016 and	JT	\$100,001 - \$250,000 erating rental income.	Rent	\$5,001 - \$15,000	
Schwab One Checking Account [BA]	JT	\$250,001 - \$500,000	Interest	\$201 - \$1,000	П
SCHWAB S&P 500 INDEX FD [MF]	JT	\$15,001 - \$50,000	Dividends	\$201 - \$1,000	П
Schwab U.S. Large-Cap ETF (SCHX) [ST]	JT	None	Capital Gains	\$2,501 - \$5,000	<b>▽</b>
Schwab U.S. Small-Cap ETF (SCHA) [ST]	JT	None	Capital Gains	\$2,501 - \$5,000	<u>~</u>
Schwab US Aggregate Bond ETF (SCHZ) [ST]	JT	\$100,001 - \$250,000	None		
Southern Company (SO) [ST]	JT	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	
Spark Energy, Inc Class A (SPKE) [ST]	JT	\$50,001 -	None		

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
		\$100,000			
Steel Dynamics, Inc. (STLD) [ST]	JT	None	Dividends	\$201 - \$1,000	П
Truliant Federal CU [BA]	JT	\$1 - \$1,000	Interest	\$1 - \$200	
Wells Fargo & Co - CD 3.5% 23 [BA]	JT	\$50,001 - \$100,000	None		
Western Digital Corporation (WDC) [ST]	JT	None	Capital Gains, Dividends	\$2,501 - \$5,000	<b>✓</b>

<sup>\*</sup> For the complete list of asset type abbreviations, please visit  $\underline{\text{https://fd.house.gov/reference/asset-type-codes.aspx}}.$ 

# SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Alliance Resource Partners, L.P Common Units Representing Limited Partnership Interests (ARLP) [ST]	JT	03/1/2018	P	\$15,001 - \$50,000	
Alliance Resource Partners, L.P Common Units Representing Limited Partnership Interests (ARLP) [ST]	JT	05/15/2018	P	\$1,001 - \$15,000	
Alliance Resource Partners, L.P Common Units Representing Limited Partnership Interests (ARLP) [ST]	JT	08/15/2018	P	\$1,001 - \$15,000	
Alliance Resource Partners, L.P Common Units Representing Limited Partnership Interests (ARLP) [ST]	JT	09/19/2018	P	\$1,001 - \$15,000	
Alliance Resource Partners, L.P Common Units Representing Limited Partnership Interests (ARLP) [ST]	JT	11/14/2018	P	\$1,001 - \$15,000	
Alliance Resource Partners, L.P Common Units Representing Limited Partnership Interests (ARLP) [ST]	JT	12/20/2018	S	\$50,001 - \$100,000	<u>~</u>
ALPS Sector Dividend Dogs ETF (SDOG) [ST]	JT	02/8/2018	S	\$15,001 - \$50,000	<b>✓</b>
AmeriGas Partners, L.P. (APU) [ST]	JT	02/28/2018	P	\$15,001 - \$50,000	
AmeriGas Partners, L.P. (APU) [ST]	JT	05/21/2018	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
AmeriGas Partners, L.P. (APU) [ST]	JT	08/6/2018	P	\$1,001 - \$15,000	
AmeriGas Partners, L.P. (APU) [ST]	JT	08/20/2018	P	\$1,001 - \$15,000	
AmeriGas Partners, L.P. (APU) [ST]	JT	08/24/2018	P	\$1,001 - \$15,000	
AmeriGas Partners, L.P. (APU) [ST]	JT	11/19/2018	P	\$1,001 - \$15,000	
AmeriGas Partners, L.P. (APU) [ST]	JT	12/11/2018	S	\$15,001 - \$50,000	
Ashford Hospitality Trust Inc (AHT) [ST]	JT	01/17/2018	P	\$1,001 - \$15,000	
Ashford Hospitality Trust Inc (AHT) [ST]	JT	04/16/2018	P	\$1,001 - \$15,000	
Ashford Hospitality Trust Inc (AHT) [ST]	JT	07/16/2018	P	\$1,001 - \$15,000	
Ashford Hospitality Trust Inc (AHT) [ST]	JT	10/16/2018	P	\$1,001 - \$15,000	
Ashford Hospitality Trust Inc (AHT) [ST]	JT	12/11/2018	S	\$15,001 - \$50,000	
AT&T Inc. (T) [ST]	JT	02/2/2018	P	\$1,001 - \$15,000	
AT&T Inc. (T) [ST]	JT	05/1/2018	P	\$1,001 - \$15,000	
AT&T Inc. (T) [ST]	JT	07/25/2018	S	\$50,001 - \$100,000	П
BP p.l.c. (BP) [ST]	JT	03/29/2018	P	\$1,001 - \$15,000	
BP p.l.c. (BP) [ST]	JT	06/22/2018	P	\$1,001 - \$15,000	
BP p.l.c. (BP) [ST]	JT	09/21/2018	P	\$1,001 - \$15,000	
BP p.l.c. (BP) [ST]	JT	12/14/2018	P	\$15,001 - \$50,000	
Brookfield Renewable Partners L.P. (BEP) [ST]	JT	06/12/2018	P	\$15,001 - \$50,000	
Brookfield Renewable Partners L.P. (BEP) [ST]	JT	06/20/2018	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Brookfield Renewable Partners L.P. (BEP) [ST]	JT	06/21/2018	P	\$1,001 - \$15,000	
Brookfield Renewable Partners L.P. (BEP) [ST]	JT	10/9/2018	P	\$1,001 - \$15,000	
Brookfield Renewable Partners L.P. (BEP) [ST]	JT	12/18/2018	S	\$15,001 - \$50,000	
Buckeye Partners L.P. (BPL) [ST]	JT	02/28/2018	P	\$1,001 - \$15,000	
Buckeye Partners L.P. (BPL) [ST]	JT	05/22/2018	P	\$1,001 - \$15,000	
Buckeye Partners L.P. (BPL) [ST]	JT	06/28/2018	S	\$15,001 - \$50,000	
CenterPoint Energy, Inc (CNP) [ST]	JT	09/19/2018	S	\$50,001 - \$100,000	<u> </u>
Clearway Energy, Inc. Class A (CWEN.A) [ST]	JT	09/17/2018	E	\$50,001 - \$100,000	
DESCRIPTION: Name change from NRG Yield Inc. to Clearway Energy	as a result	of merger.			
CVR Energy Inc. (CVI) [ST]	JT	03/13/2018	P	\$1,001 - \$15,000	
CVR Energy Inc. (CVI) [ST]	JT	05/14/2018	P	\$1,001 - \$15,000	
CVR Energy Inc. (CVI) [ST]	JT	08/14/2018	P	\$1,001 - \$15,000	
CVR Energy Inc. (CVI) [ST]	JT	11/13/2018	P	\$1,001 - \$15,000	
CVR Energy Inc. (CVI) [ST]	JT	12/14/2018	S	\$50,001 - \$100,000	<u> </u>
Domtar Corporation (UFS) [ST]	JT	04/27/2018	P	\$15,001 - \$50,000	
Domtar Corporation (UFS) [ST]	JT	05/1/2018	P	\$15,001 - \$50,000	
Duke Energy Corporation (DUK) [ST]	JT	04/12/2018	P	\$15,001 - \$50,000	
Duke Energy Corporation (DUK) [ST]	JT	06/19/2018	P	\$1,001 - \$15,000	
Duke Energy Corporation (DUK) [ST]	JT	09/18/2018	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Duke Energy Corporation 5.125% Junior Subordinated Debentures due 2073 (DUKH) [ST]	JT	12/18/2018	P	\$15,001 - \$50,000	
Enterprise Products Partners L.P. (EPD) [ST]	JT	02/8/2018	P	\$1,001 - \$15,000	
Enterprise Products Partners L.P. (EPD) [ST]	JT	05/8/2018	P	\$1,001 - \$15,000	
Enterprise Products Partners L.P. (EPD) [ST]	JT	08/9/2018	P	\$1,001 - \$15,000	
Enterprise Products Partners L.P. (EPD) [ST]	JT	11/8/2018	P	\$1,001 - \$15,000	
Fidelity Nasdaq Composite Index Tracking Stock (ONEQ) [ST]	JT	02/8/2018	S	\$50,001 - \$100,000	<b>✓</b>
General Motors Company (GM) [ST]	JT	03/19/2018	P	\$50,001 - \$100,000	
General Motors Company (GM) [ST]	JT	09/4/2018	P	\$1,001 - \$15,000	
General Motors Company (GM) [ST]	JT	12/10/2018	S	\$15,001 - \$50,000	
GlaxoSmithKline PLC (GSK) [ST]	JT	04/12/2018	P	\$1,001 - \$15,000	
GlaxoSmithKline PLC (GSK) [ST]	JT	10/9/2018	P	\$1,001 - \$15,000	
GlaxoSmithKline PLC (GSK) [ST]	JT	12/11/2018	P	\$15,001 - \$50,000	
Humana Inc. (HUM) [ST]	JT	03/19/2018	P	\$15,001 - \$50,000	
Humana Inc. (HUM) [ST]	JT	12/17/2018	S	\$50,001 - \$100,000	<b>✓</b>
International Paper Company (IP) [ST]	JT	02/8/2018	S	\$50,001 - \$100,000	<u>~</u>
iShares Russell 2000 ETF (IWM) [ST]	JT	02/8/2018	S	\$50,001 - \$100,000	<b>∀</b>
Johnson & Johnson (JNJ) [ST]	JT	02/8/2018	S	\$50,001 - \$100,000	<b>V</b>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
NRG Yield, Inc. Class A (NYLD.A) [ST]	JT	03/16/2018	P	\$1,001 - \$15,000	
NRG Yield, Inc. Class C (NYLD) [ST]	JT	06/18/2018	P	\$1,001 - \$15,000	
Old Republic International Corporation (ORI) [ST]	JT	01/31/2018	P	\$1,001 - \$15,000	
Old Republic International Corporation (ORI) [ST]	JT	02/8/2018	S	\$15,001 - \$50,000	<b>~</b>
One Liberty Properties, Inc. (OLP) [ST]	JT	02/28/2018	P	\$50,001 - \$100,000	
One Liberty Properties, Inc. (OLP) [ST]	JT	04/6/2018	P	\$1,001 - \$15,000	
One Liberty Properties, Inc. (OLP) [ST]	JT	07/9/2018	P	\$1,001 - \$15,000	
One Liberty Properties, Inc. (OLP) [ST]	JT	10/5/2018	P	\$1,001 - \$15,000	
Pattern Energy Group Inc Class A (PEGI) [ST]	JT	01/31/2018	P	\$1,001 - \$15,000	
Pattern Energy Group Inc Class A (PEGI) [ST]	JT	02/1/2018	P	\$1,001 - \$15,000	
Pattern Energy Group Inc Class A (PEGI) [ST]	JT	04/30/2018	P	\$1,001 - \$15,000	
Pattern Energy Group Inc Class A (PEGI) [ST]	JT	05/1/2018	P	\$1,001 - \$15,000	
Pattern Energy Group Inc Class A (PEGI) [ST]	JT	07/13/2018	S	\$15,001 - \$50,000	
Pembina Pipeline Corp. Ordinary Shares (PBA) [ST]	JT	09/17/2018	P	\$1,001 - \$15,000	
Pepsico, Inc. (PEP) [ST]	JT	02/8/2018	S	\$50,001 - \$100,000	<u>~</u>
Pfizer, Inc. (PFE) [ST]	JT	02/8/2018	S	\$50,001 - \$100,000	<u>~</u>
PPL Corporation (PPL) [ST]	JT	03/5/2018	P	\$50,001 - \$100,000	
PPL Corporation (PPL) [ST]	JT	04/2/2018	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
PPL Corporation (PPL) [ST]	JT	07/3/2018	P	\$1,001 - \$15,000	
PPL Corporation (PPL) [ST]	JT	10/2/2018	P	\$1,001 - \$15,000	
PPL Corporation (PPL) [ST]	JT	12/17/2018	P	\$15,001 - \$50,000	
Preferred Apartment Communities, Inc. (APTS) [ST]	JT	02/27/2018	S	\$50,001 - \$100,000	
Procter & Gamble Company (PG) [ST]	JT	02/9/2018	S	\$50,001 - \$100,000	П
Schwab U.S. Large-Cap ETF (SCHX) [ST]	JT	02/8/2018	S	\$15,001 - \$50,000	
Schwab U.S. Small-Cap ETF (SCHA) [ST]	JT	02/8/2018	S	\$15,001 - \$50,000	
Schwab US Aggregate Bond [EF]	JT	12/19/2018	P	\$100,001 - \$250,000	
Southern Company (SO) [ST]	JT	03/7/2018	P	\$15,001 - \$50,000	
Southern Company (SO) [ST]	JT	06/7/2018	P	\$1,001 - \$15,000	
Southern Company (SO) [ST]	JT	09/7/2018	P	\$1,001 - \$15,000	
Southern Company (SO) [ST]	JT	09/25/2018	P	\$1,001 - \$15,000	
Southern Company (SO) [ST]	JT	12/7/2018	P	\$1,001 - \$15,000	
Southern Company (SO) [ST]	JT	12/17/2018	P	\$15,001 - \$50,000	
Spark Energy, Inc Class A (SPKE) [ST]	JT	12/17/2018	P	\$50,001 - \$100,000	
Steel Dynamics, Inc. (STLD) [ST]	JT	03/14/2018	P	\$50,001 - \$100,000	
Steel Dynamics, Inc. (STLD) [ST]	JT	12/10/2018	S	\$15,001 - \$50,000	
Western Digital Corporation (WDC) [ST]	JT	02/8/2018	S	\$15,001 - \$50,000	$\overline{\vee}$

Asset	Owner Date	Tx. Amount Type	Cap. Gains > \$200?

<sup>\*</sup> For the complete list of asset type abbreviations, please visit <a href="https://fd.house.gov/reference/asset-type-codes.aspx">https://fd.house.gov/reference/asset-type-codes.aspx</a>.

# SCHEDULE C: EARNED INCOME

None disclosed.

SCHEDULE D: LIABILITIES

None disclosed.

**SCHEDULE E: POSITIONS** 

None disclosed.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE G: GIFTS

None disclosed.

### SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

#### SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO**: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

© Yes © No

**Trusts**: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

© Yes © No

**Exemption**: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ○ No

#### CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Virginia Foxx, 05/15/2019